

Appendix 1: Assurance Summary 2016/17

Audit Plan Areas	Level of Assurance			
	High	Satisfactory	Partial	Minimal
Managing the Business		<ul style="list-style-type: none"> • Corporate Procurement Strategy and Toolkit (Dec 2016) • Risk Management, Corporate Plan Register (Dec 2016) 	<ul style="list-style-type: none"> • Business Continuity Planning (Feb 2017) • Risk Management, Service Plan Risks (Dec 2016) • Risk Management, Project Risks (Dec 2016) 	
Managing Service Delivery Risks	<ul style="list-style-type: none"> • Commissioning Public Health Services for 0 to 5 year olds (Dec 2016) 	<ul style="list-style-type: none"> • Drug and Alcohol Commissioning Team Governance (May 2017) • Public Health, Health Protection (Jul 2016) • Airport Business Park Project Assurance (May 2017) 	<ul style="list-style-type: none"> • Adult Social Care Services, Assessment of Personal Budgets (Dec 2016) • Financial Monitoring of Direct Payments (Mar 2017) 	<ul style="list-style-type: none"> • Social Care IT Case Management System Contract, Procurement (Dec 2016)

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Managing Service Delivery Risks		<ul style="list-style-type: none"> • Cyber Security Governance (May 2017) • IT Data Security Policy Application (May 2017) 	<ul style="list-style-type: none"> • Social Care IT Case Management System, Project Implementation Health Check (Nov 2016) • Housing Allocations (Dec 2016) • Right to Buy (Mar 2017) • Works Contract Letting, St Helen's Roman Catholic School (Dec 2016) • IT Infrastructure and Asset Management (Nov 2016) 	<ul style="list-style-type: none"> • Purchasing Cards (P Cards) (Oct 2016) • Section 75 Partnership Agreement Integrated Equipment Service (Dec 2016)

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Key Financial Systems		<ul style="list-style-type: none"> Financial systems work to support the Council's Financial Statements (Jun 2017) 		

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Audits Revisited	Action Implementation Level			
	High	Satisfactory	Partial	Minimal
Implementing Action Plans	<ul style="list-style-type: none"> • Traffic Management Schemes implemented by Traffic Regulation Orders (Dec 2016) 	<ul style="list-style-type: none"> • Managing Ofsted Action Plans (Dec 2016) • Third Party Hosting (Mar 2017) 	<ul style="list-style-type: none"> • Licensing (Feb 2017) • The Forum Governance Arrangements (Mar 2017) • Southend Adult Community College (Apr 2017) • Welfare Reform (Sept 2016) • Accounts Receivable, Social Care Debt (Jun 2017) 	<ul style="list-style-type: none"> • Mental Health Direct Payments (Feb 2017)

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Grant Claims	Level of Assurance	
	Unqualified	With Qualification
	<ul style="list-style-type: none"> • Coastal Communities Fund (Jan 2017) • Troubled Families Programme, Payments by Results Scheme Grant (Sept 2016, Jan 2017) • South East Essex Local Growth Fund (Jul 2016) • Highways Maintenance Challenge Fund (Sept 2016) • Local Transport Capital Block Funding (Sept 2016) • Disabled Facilities Grant (Sept 2016) • A127 Corridor Growth Scheme (Sept 2016) 	

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Schools Audits Revisited	Action Implementation Level			
	High	Satisfactory	Partial	Minimal
Implementing Action Plans	<ul style="list-style-type: none"> • Blenheim Primary School (Jun 2016) • Hamstel Infant School (Dec 2016) • St George's Catholic Primary School (Mar 2017) • St Helen's Catholic Primary School (Mar 2017) • ST Nicholas School (Mar 2017) 	<ul style="list-style-type: none"> • Chalkwell Hall Junior School (Mar 2017) • Heycroft Primary School (Dec 2016) • Kingsdown Primary School (Dec 2016) • Milton Hall Primary School (Dec 2016) • Sacred Heart Catholic Primary School (Sept 2016) 	<ul style="list-style-type: none"> • Friars Primary School (Dec 2016) • Hinguar Community Primary School (Sept 2016) • Seabrook College (Dec 2016) • The Federation of Greenways Schools (Jan 2017) 	